DOCKET NO: C1104-7112.30

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George G. Mueller, et al.

Serial No:

10/678,971

Confirmation No:

7302

Filed:

October 3, 2003

For:

METHODS AND APPARATUS FOR

ILLUMINATING ENVIRONMENTS

Examiner:

Not yet assigned

Art Unit:

2875

# CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 24 day of March, 2005.

Jeanne W. Chub

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

Information Disclosure Statement & Form PTO-1449 [X]

[X]Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

By:

Respectfully submitted,

George G. Mueller, et al., Applicants

Jøseph Teja, Jr./Reg. No. 45,157

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Riverfront Office Park

One Main Street

Cambridge, MA 02142

(617) 395-7000

Date: March <u>9</u>, 2005



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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

## PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

# PART III: Remarks

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Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, George G. Mueller, et al., Applicants

By:

Jøseph Teja, Jr., Reg. No. 45,157

LOWRIE, LANDO & ANASTASI, LLP

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Docket No. C1104-7112.30 Date: March <u>JY</u>, 2005

MAR 2 8 2005			
PORM PTO-1449/K and B (Modified)	APPLICATION NO.:	10/678,971	ATTY. DOCKET NO.: C1104-7112.30
INFORMATION DISCLOSURE	FILING DATE:	10-03-2003	CONFIRMATION NO.: 7302
STATEMENT BY APPLICANT	APPLICANT:	George G. Mueller, et al.	
Sheet 1 of 1	GROUP ART UNIT:	2875	EXAMINER: Not yet assigned
	U.S. PATENT DO	OCUMENTS	

Examiner's	Cite	U.S. Patent Doc	ument	Name of Patentee or Applicant of Cited	Date of Publication or of issue of Cited Document	
Initials	No.	Number	Kind Code	I Document	MM-DD-YYYY	
		* 4,470,044		Bell	09-04-1984	
7.		* 6,023,255		Bell	02-08-2000	
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FOREIGN PATENT DOCUMENTS

Examiner's	Cite	Fore	ign Patent Docui	nent	Name of Patentee or Applicant of Cited	Date of Publication of	Translation (Y/N)
Initials	No.	Office/ Country	Number	Kind Code	(not noongory)	Cited Document MM-DD-YYYY	
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#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's	Cite	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item	Translati	ion	
Initials	No	(book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s),	(Y/N	(Y/N)	
		publisher, city and/or country where published.			
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EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided because a copy of this reference need not be provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.

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